

# Reservoir Optimization

*Lessons Learned from the Tarrant  
Regional Water District, Texas*

Upper Colorado River Basin Water Forum: Seeking a Resilient Future

**Joseph R. Kasprzyk**

*Assistant Professor*

*Civil Environmental and Architectural Engineering Department*



University of Colorado **Boulder**

The Johnstown Flood of 1889 was one of the largest environmental disasters in US history...



*But... "It should be noted that no major federal response to flood destruction occurred until the beginning of the 20<sup>th</sup> century"*

[Arnold 1988]



# While the Flood Control Act of 1936 called flood control an “appropriate” federal activity...

- There was no easy way to choose projects.

*“...that the Federal Government should improve or participate in the improvement of navigable waters ..., for flood control purposes **if the benefits to whomsoever they may accrue are in excess of the estimated costs**”*

- Is one objective enough?

Photo # NH 90687 The Washington Navy Yard during the 1936 Potomac River flood



# More recent federal guidance

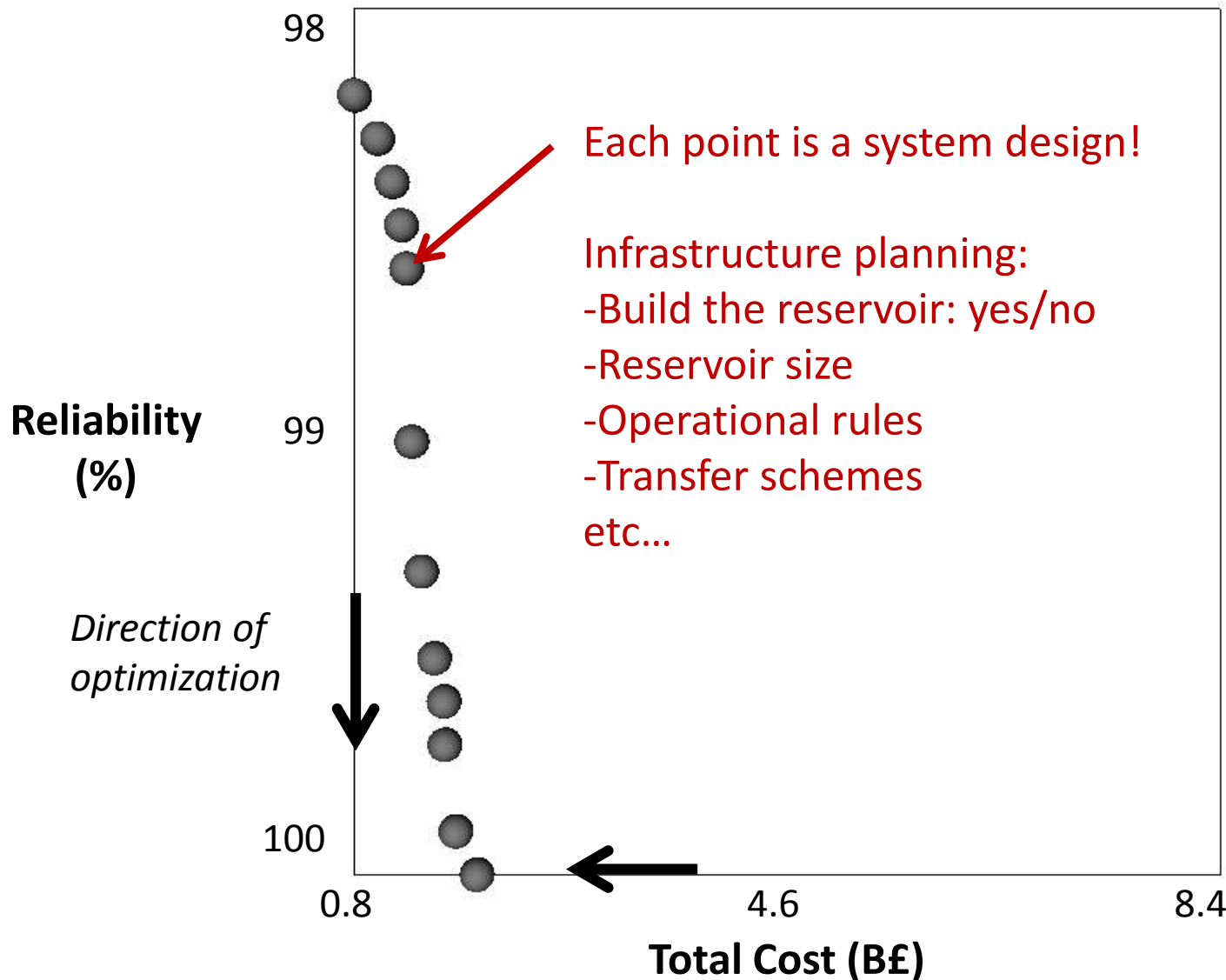
*“[water projects show] varying degrees of effects relative to **environmental, economic, and social goals**. No hierarchical relationship exists among these three goals and a result, **tradeoffs among potential solutions** will need to be assessed...”*

**Principles and Requirements for Federal Investments in Water Resources, 2013**

My group's research seeks to develop tradeoffs for problems with conflicting objectives to increase understanding; creating innovative solutions to these problems; and characterizing uncertainty.



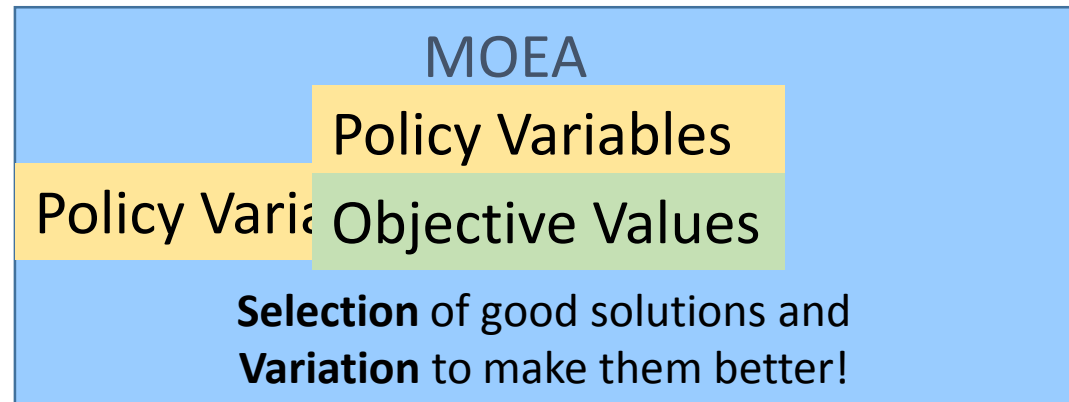
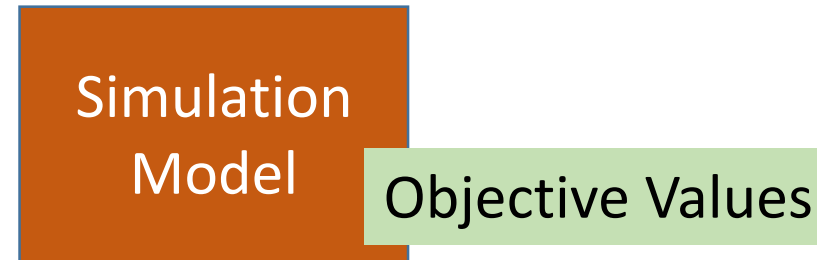
# Two objectives: Non-domination



[Matrosov et al.  
In-Review]

# Multiobjective Evolutionary Algorithms (MOEAs)

- Solves **multiple** objectives or goals at the same time
- “Evolutionary”: an iterative process of **finding better designs**
- You can plug **any simulation model** into the process.



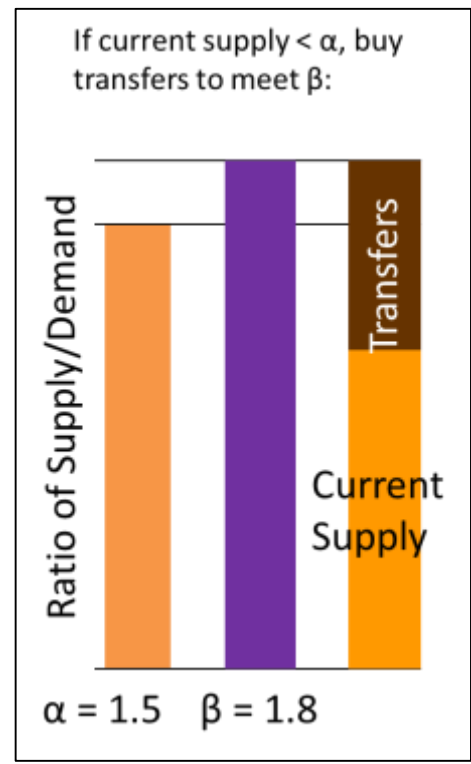
# Policy variables: what can change?



New infrastructure



Conservation programs



Thresholds and operating rules

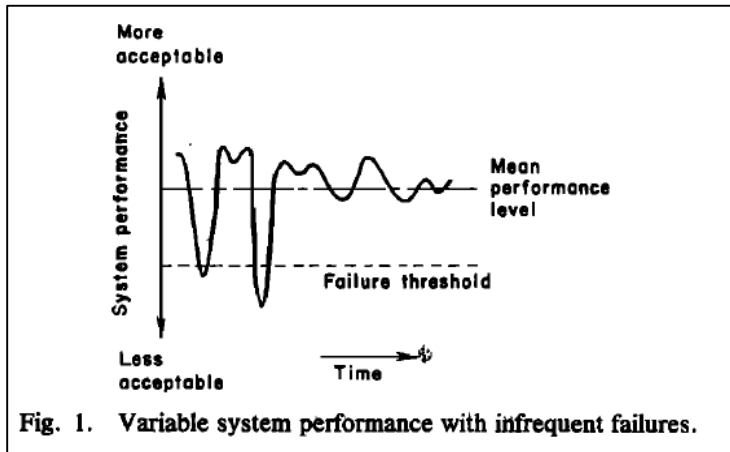
# Objectives and Constraints: Measuring performance



Cost: fixed, operating...



Equity: Consideration of multiple perspectives, meeting different purposes for a design



Performance: Reliability, Resilience, Vulnerability [Hashimoto et al. 1982]



*Example:*

# Optimizing Pumping and Releases in a Multi-Reservoir Supply Network

**Rebecca Smith, Joseph Kasprzyk, Edie Zagona**

*Smith MS Thesis (2014)*

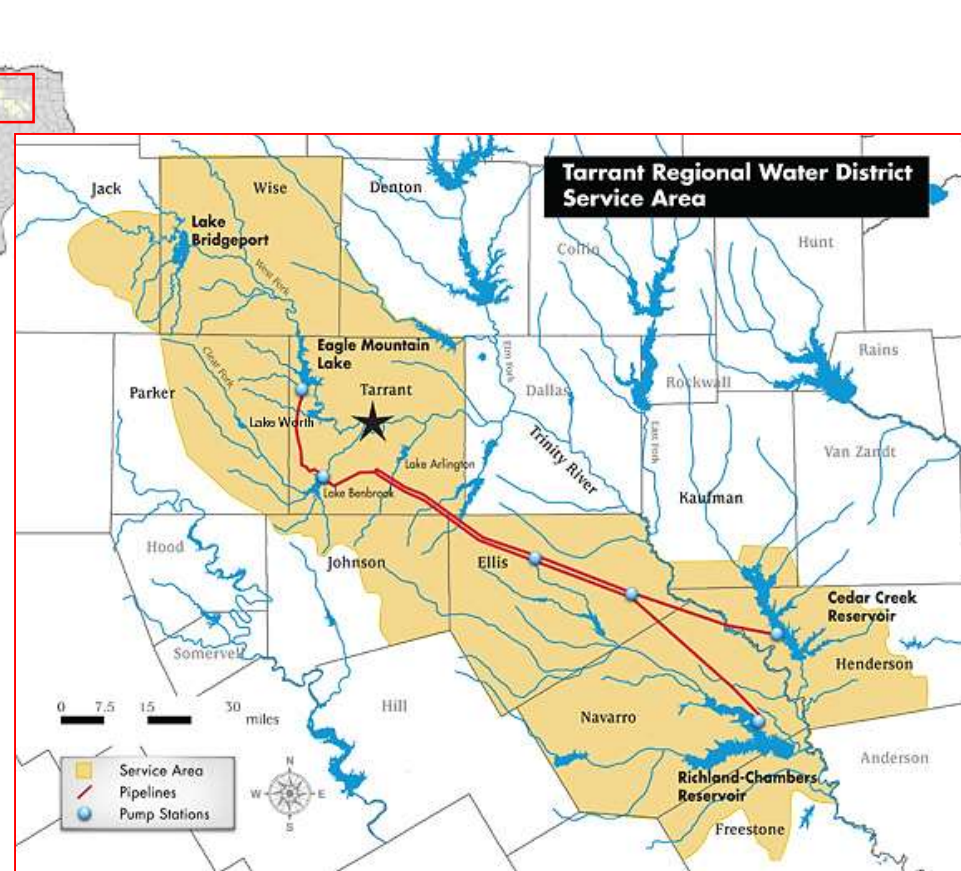
*In Prep, Journal of Water Resources Planning and Management*



Photo: <http://www.awilliamsmediaarchive.com/drought-lake-bridgeport-#/id/i6675161>

# Tarrant Regional Water District (TRWD)

- Provides raw water to 30 water treatment plants
  - More than 1.8 million customers served
  - Includes Ft. Worth and Arlington
- Challenges
  - 2011-2014: most severe Texas drought on record
  - Projected regional population increase of 37% by 2030





# TRWD Conceptual Model

- Two distinct climates
  - Drier in West, wetter in East
- To meet demands in West, TRWD must pump water up 400 ft of elevation
  - 99.5% of annual budget spent on energy (\$17.6 million in 2012)
- Because of a complicated electricity purchasing scheme, TRWD tries to avoid **variability** in their pumping scheme

Eagle Mtn

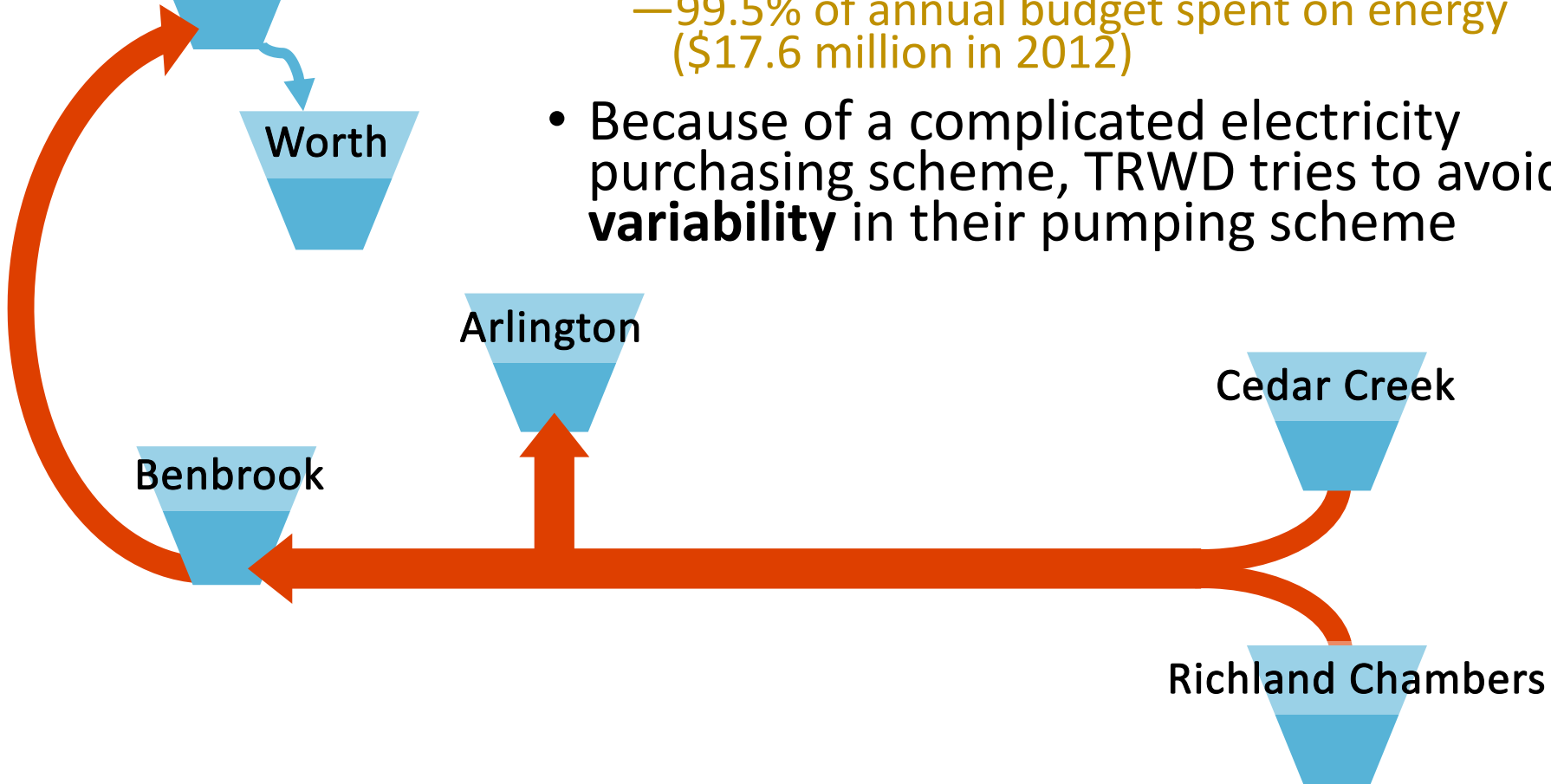


Arlington

Cedar Creek

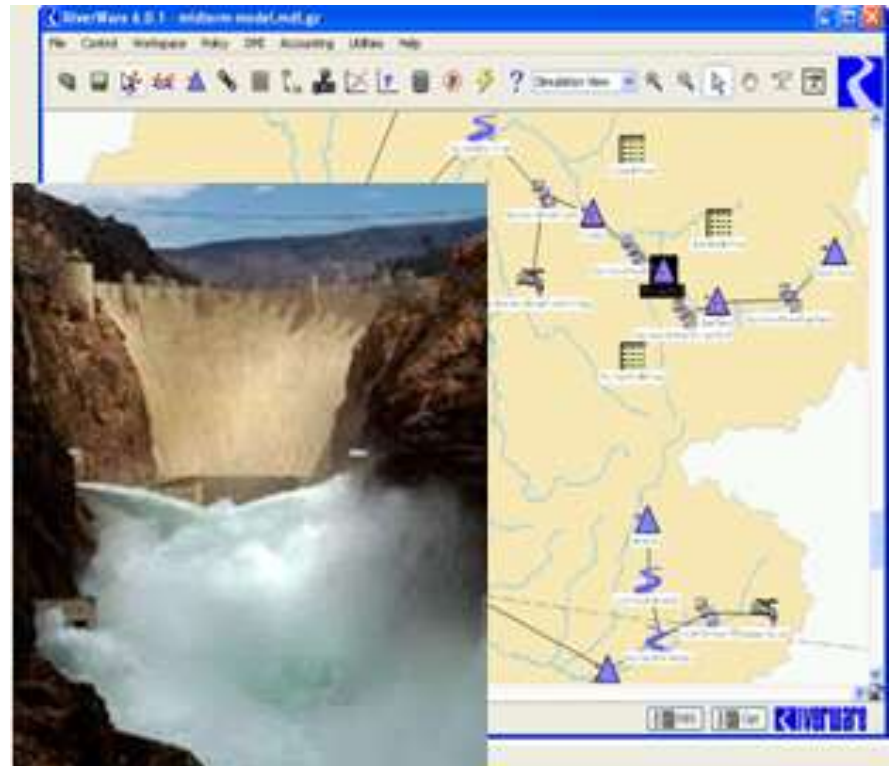
Benbrook

Richland Chambers



# General purpose software such as RiverWare is often used to model multi-reservoir systems operationally.

- Nodes (water storage) and links (conveyance)
- Includes operational rules, accounting of water rights
- Multiple run manager for multiple sets of input data



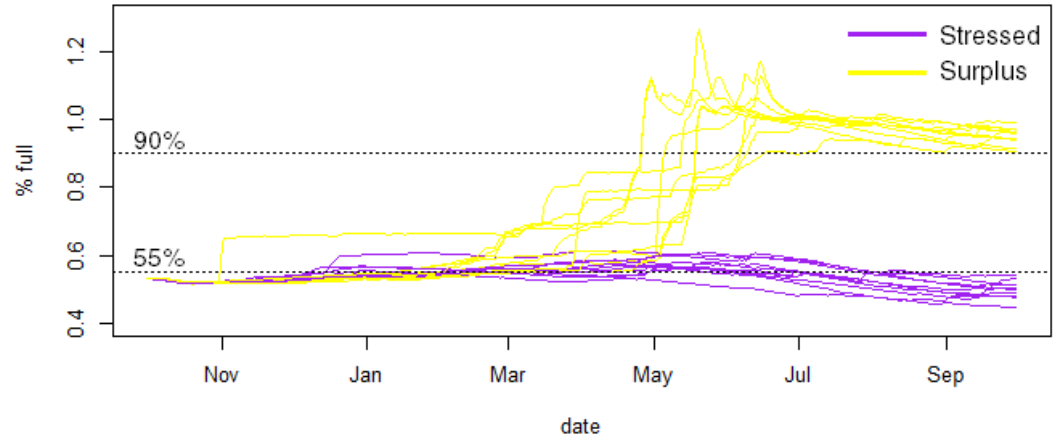
For more info:

<http://cadswe.colorado.edu/>



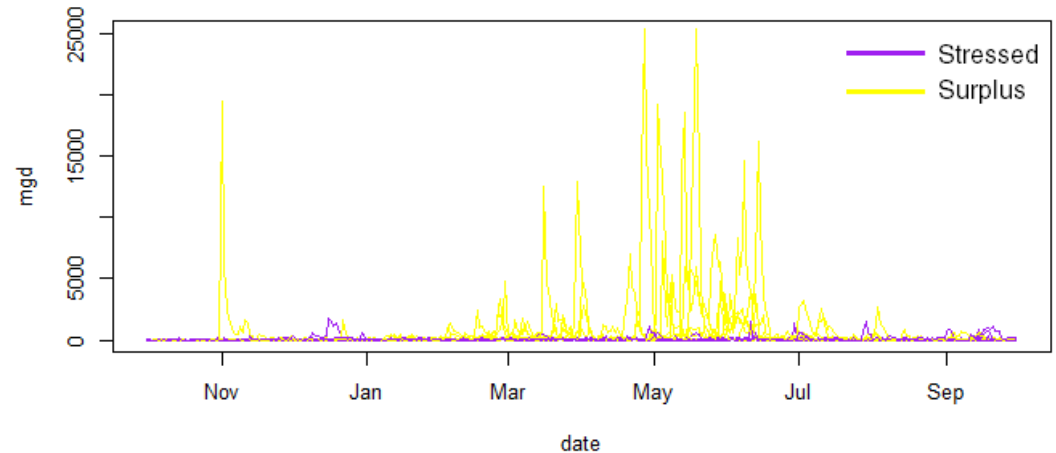
# We consider hydrologic variability by using 'stressed' and 'surplus' scenarios.

WF % Full 10 Stressed & 10 Surplus Traces



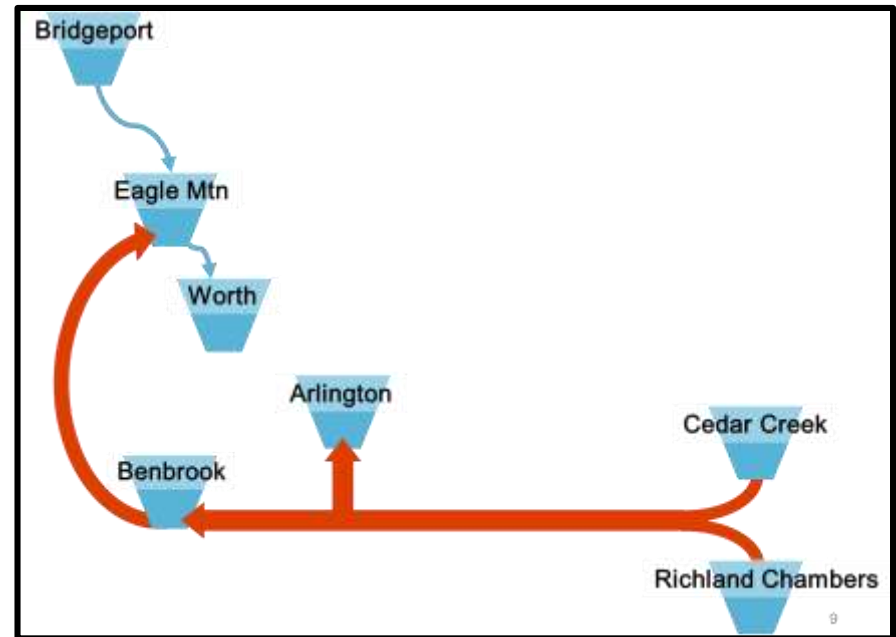
- Stochastic generation of hydrologic traces
- Split up scenarios by West Fork %Full

WF Inflows 10 Stressed & 10 Surplus Traces



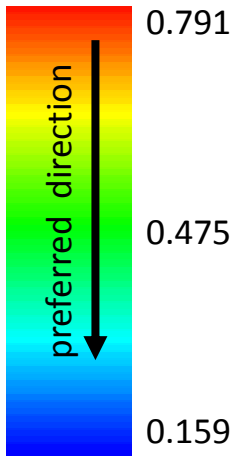
# Decision Variables

- Balancing variables
  - Storage zones that balance Eagle Mountain and Bridgeport
  - Desired elevations for Worth
- Supplementation variables
  - Eagle Mountain Trigger Rates
  - Pump Rates

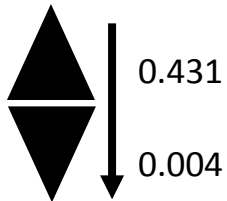


# Stressed & Surplus: Comparing results to baseline (boxed)

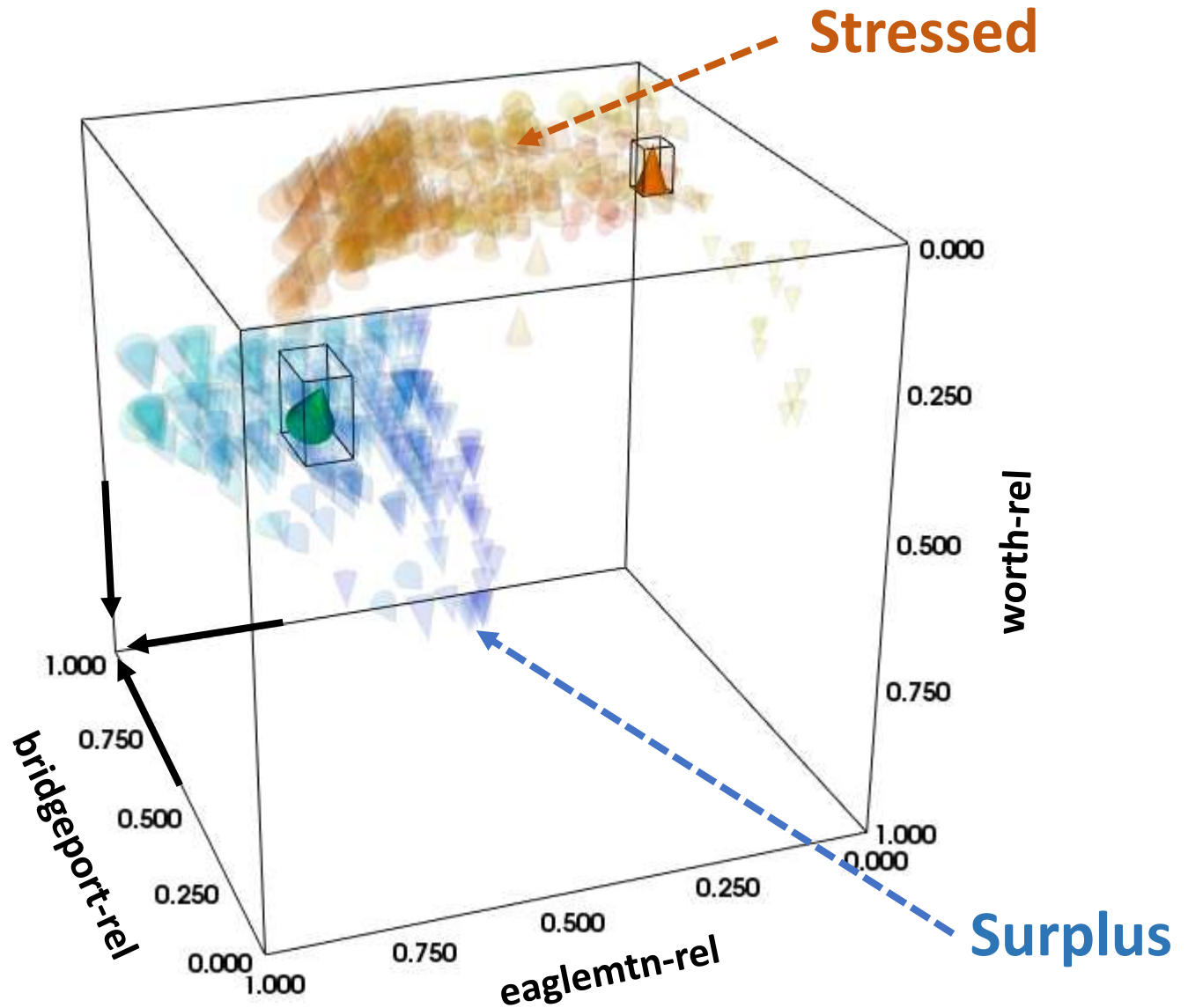
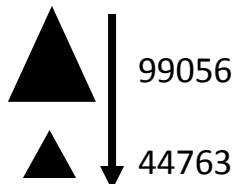
pump211



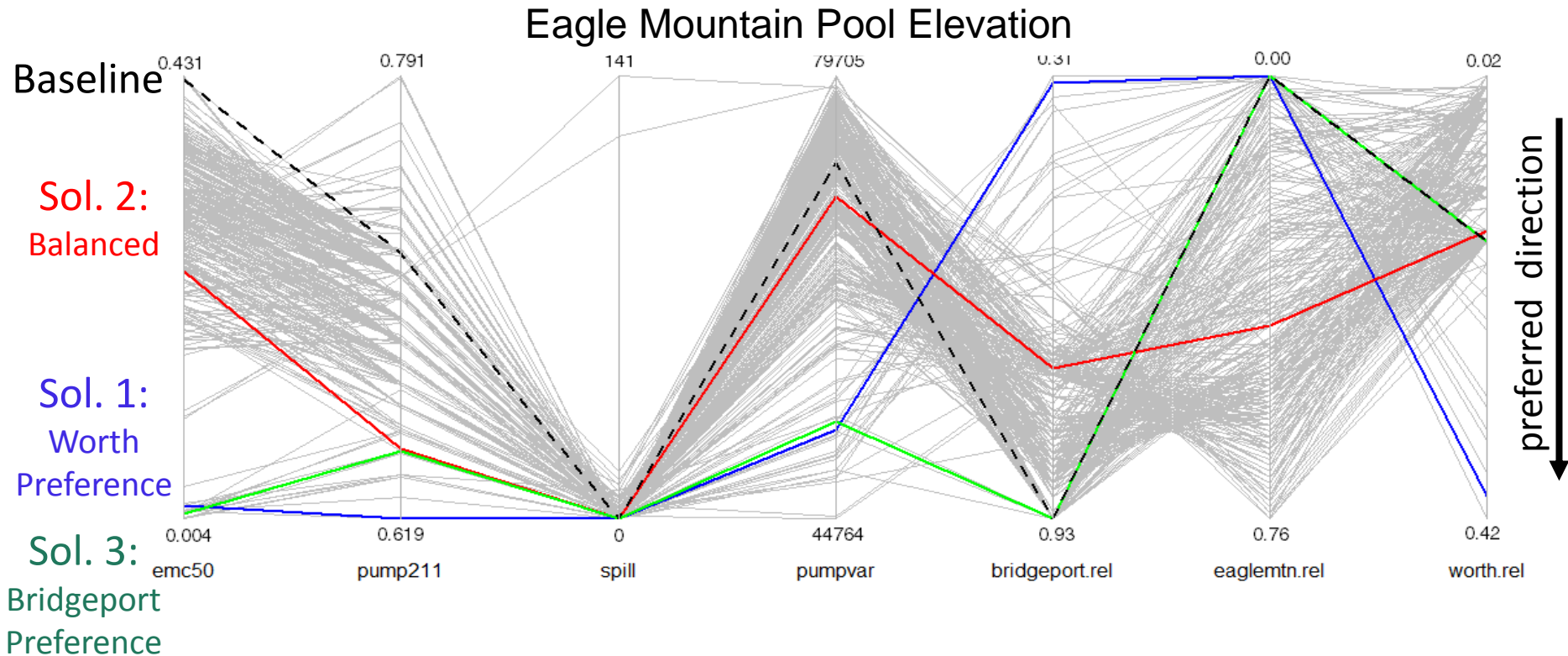
emc50



pumpvar



# Stressed: Detailed Comparison



*Each solution is a straight line, and when solutions' lines cross this indicates a conflict between objectives!*



# TRWD Study Implications

- TRWD can implement individual solutions into their planning model
- Tradeoff analysis facilitated new **learning** about system dynamics
  - Example: TRWD was favoring Bridgeport storage during drought
- It is helpful to add the utility's input into this process
  - Some management alternatives may be impossible to implement
- Integration of MOEA and complex model was successful and can lead to further integrated studies
  - Adding energy planning, rainfall-runoff modeling...

**Acknowledgements:** Rebecca Smith (CU), John Carron, Nick Mander (Hydros Consulting); Laura Blaylock (TRWD); Edie Zagona, Bill Oakley, Neil Wilson, Jim Pasquotto, Gwen Miller (CADSWES)





# Balancing Severe Decision Conflicts under Climate Extremes in Water Resource Management

Funded by the NOAA Sectoral Applications Research Program (SARP)

**PI: Lisa Dilling**

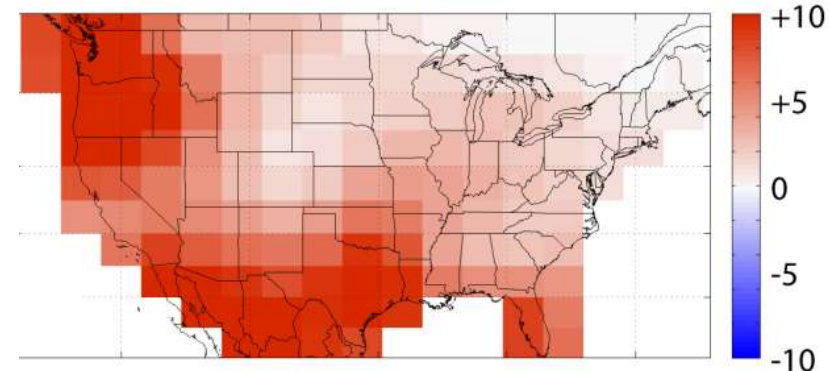
**Team:** Joseph Kasprzyk, Imtiaz Rangwala, Eric Gordon, Kristen Averyt (CU), Lurna Kaatz (Denver Water), Leon Basdekas (Colorado Springs Utilities)

**Graduate Assistant:** Rebecca Smith



# Motivation

- Increasing calls for decision support for climate;
- Managers are interested in new tools like MOEAs, but they have not been extensively tested



GCM ensemble estimate of change in maximum consecutive dry days per year, between 2080-2099 and 1950-2000, from [Kollat et al. 2012]

## Is Multiobjective Optimization Ready for Water Resources Practitioners? Utility's Drought Policy Investigation

Leon Basdekas, Ph.D., P.E., M.ASCE

Principal Engineer, Colorado Springs Utilities, 121 S. Tejon St., 3rd Floor,  
P.O. Box 1130, Mail Code 930, Colorado Springs, CO 90947-0930.  
E-mail: basdekas@gmail.com

DOI: 10.1061/(ASCE)WR.1943-5452.0000415

To illustrate the ability of a midsized water utility to use a

leading to dramatic increases in Colorado River Basin snow pack. While some residents questioned the need for watering restrictions in light of the storms, total seasonal snowpack remained below normal, resulting in the need for continued restrictions. This example of short-term uncertainty exemplifies the need for robust and flexible operational policies, along with analytical methods capable of supporting the necessary complexity. While more traditional Monte Carlo-type analyses may still play a role in risk assessments and operational policy evaluation, CSU decided to use MOEAs as

# Project Plan

- **Initial Workshop** with water managers
- **Testbed:** Develop a representative system to test
- **Final Workshop** to receive feedback





# We have partnered with an array of water utilities in the Front Range.



<http://www.coloradoan.com/story/news/local/2014/07/17/northern-water-opts-gradual-rate-increase/12813261/>



<https://bouldercolorado.gov/water>



<https://www.auroragov.org/LivingHere/Water/>



<https://www.csu.org/>



<http://www.denverwater.org/>



<http://www.fcgov.com/utilities/>

**Goal:** Better enable MOEAs to be able to affect real management decision. Surveys and the testbed will hopefully provide 'best practices' for implementation.

# Acknowledgements

Thanks! Questions?

- TRWD Reservoir Planning
  - Rebecca Smith (CU), John Carron, Nick Mander (Hydros Consulting); Laura Blaylock (TRWD); Edie Zagona, Bill Oakley, Neil Wilson, Jim Pasquotto, Gwen Miller (CADSWES)
- NOAA SARP project
  - Rebecca Smith, Lisa Dilling, Imtiaz Rangwala, Eric Gordon, Kirsten Averyt (CU); Lurna Kaatz (Denver Water), Leon Basdekas (Colorado Springs Utilities)
- Other Collaborators
  - Patrick Reed, Jon Herman (Cornell) Greg Characklis (University of North Carolina); Joshua Kollat (DecisionVis, LLC); David Hadka (Penn State)

# References

- Arnold, J.L. (1988) *The Evolution of the 1936 Flood Control Act*. US Army Corps of Engineers Office of History
- Brill Jr, E. D., Flach, J. M., Hopkins, L. D., & Ranjithan, S. (1990). MGA: a decision support system for complex, incompletely defined problems. *Systems, Man and Cybernetics, IEEE Transactions on*, 20(4), 745-757.
- Deb, K and T Goel (2001) “A Hybrid Multi-Objective Evolutionary Approach to Engineering Shape Design” EMO conference proceedings
- Haimes, YY and WA Hall (1977) “Sensitivity, Responsivity, Stability, and Irreversibility as Multiple Objectives in Civil Systems” *Advances in Water Resources* 1(2)
- Hitch, C. J. (1960). On the choice of objectives in systems studies. RAND Report.
- Hoeffler, A, U Leysner, J Weiderman (1973) “Optimization of the layout of trusses combining strategies based on Mitchel’s theorem and on biological principles of evolution” Proceedings of the 2<sup>nd</sup> symposium on Structural Optimization
- Koch, W. (2014) “Poll: Americans want U.S. to prepare for climate change” *USA Today*.  
<http://www.usatoday.com/story/news/nation/2013/03/28/poll-climate-change/2028223/>

# References

- Hyari, K, and K El-Rayes (2006) “Optimal Planning and Scheduling for Repetitive Construction Projects” *Journal of Management in Engineering* 22
- Kasprzyk, JR, PM Reed, GW Characklis, BR Kirsch (2009) “Managing Population and Drought Risks Using Many-Objective Water Supply Portfolio Planning Under Uncertainty” *Wat. Resour. Res.*, 45.
- Kasprzyk, JR, S Nataraj, PM Reed, RJ Lempert (2013) “Many-Objective Robust Decision Making for Complex Environmental Systems Undergoing Change” *Env. Mod. Soft.*, 42
- Kasprzyk JR, PM Reed, D Hadka (In-Review) “Battling Arrow’s Paradox to Discover Robust Water Management Alternatives” *Journal of Water Resources Planning and Management*
- Kicinger, R, T Arciszewski, K De Jong (2005) “Evolutionary computation and structural design: A survey of the state-of-the-art” *Computers and Structures* 83
- Liebman, J. C. (1976). Some simple-minded observations on the role of optimization in public systems decision-making. *Interfaces*, 6(4), 102-108.

# References

- Matrosov, E, I Huskova, J Kasprzyk, J Harou, P Reed (In Review) “Many Objective Optimization and Visual Analytics Reveal Key Planning Trade-offs for London’s Water Supply” J. Hydrol.
- Nemmani, GR, SV Suggala, PK Bhattacharya (2009) “NSGA-II for Multiobjective Optimization of Pervaporation Process: Removal of Volatile Organics from Water” *Ind. Eng. Chem. Res.* 48
- Reed, PM, D Hadka, J Herman, J Kasprzyk, J Kollat (2013) “Evolutionary Multiobjective Optimization in Water Resources: The Past, Present, and Future” *Adv. Water. Resour* 51, 438-456.
- Rosario-Ortiz, F. (2013) “Watershed Perturbations and Water Quality” *J. Am. Water Works Assoc.* 105(4): 2.
- Smith, R (2014) *Many Objective Analysis to Optimize Pumping and Releases in a Multi-Reservoir Water Supply Network*. MS Thesis, University of Colorado.  
[http://cadswes.colorado.edu/sites/default/files/PDF/Theses-PhD/RMSmith\\_MastersThesis\\_2014.pdf](http://cadswes.colorado.edu/sites/default/files/PDF/Theses-PhD/RMSmith_MastersThesis_2014.pdf)
- Smith, R, JR Kasprzyk, E Zagona (In-Preparation) “Many Objective Analysis to Optimize Pumping and Releases in a Multi-Reservoir Water Supply Network” *Journal of Water Resources Planning and Management*
- US Energy Information Administration, <http://www.eia.gov/>
- US Principles and Requirements:  
[http://www.whitehouse.gov/sites/default/files/final\\_principles\\_and\\_requirements\\_march\\_2013.pdf](http://www.whitehouse.gov/sites/default/files/final_principles_and_requirements_march_2013.pdf)



# Extra Slides

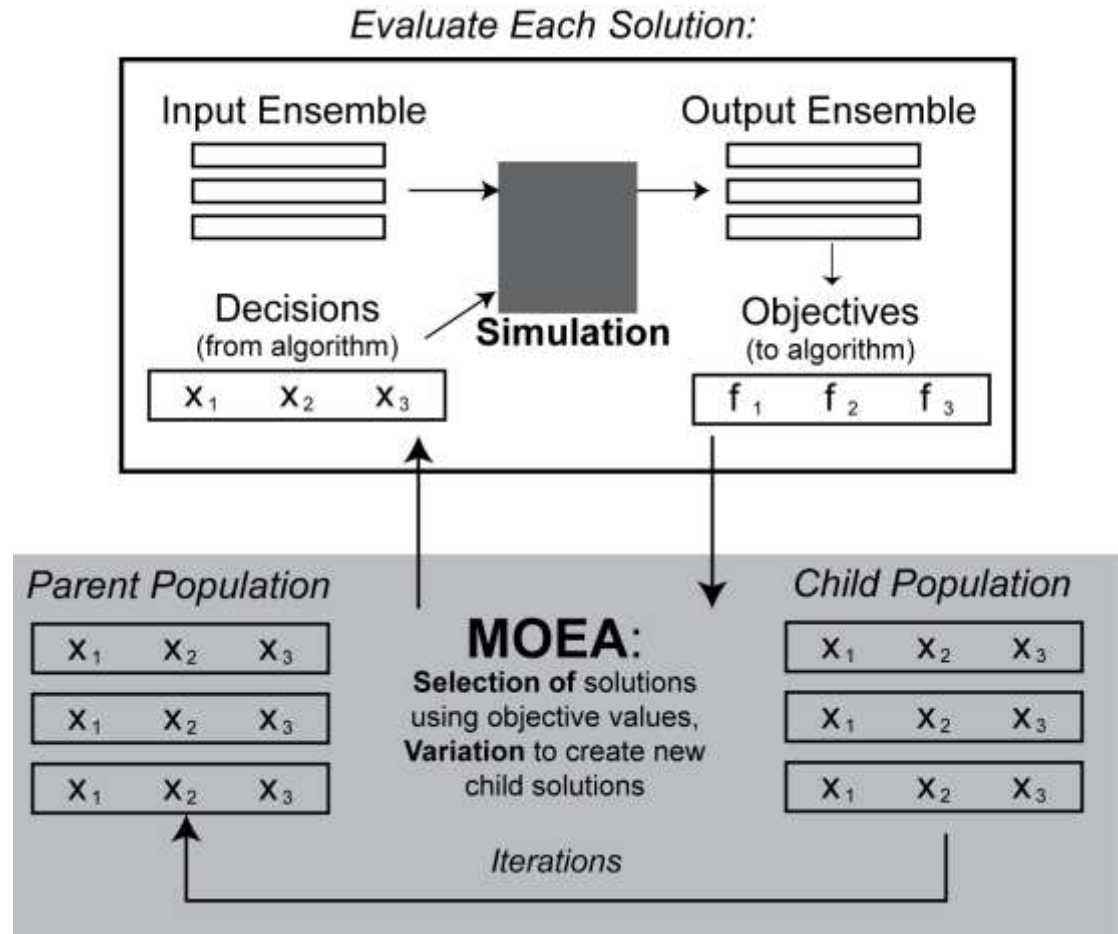
# Too Many Proposals Pass the Benefit Cost Test

*By* JOHN P. HOEHN AND ALAN RANDALL\*

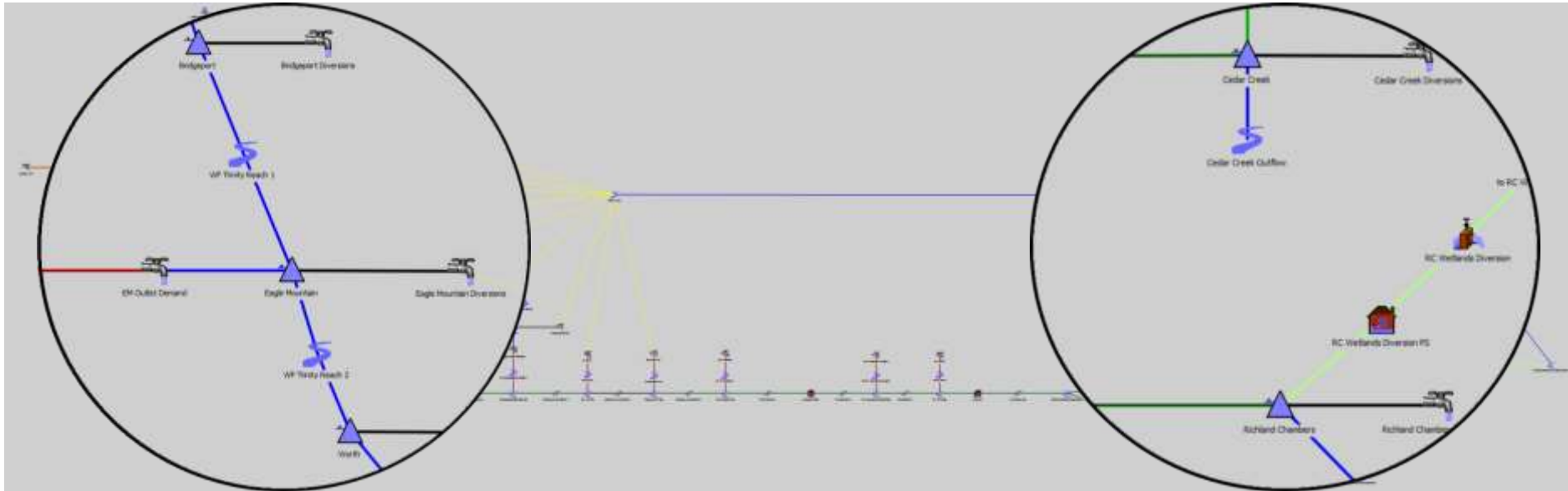
- Each federal agency evaluates policies independently (with their own agenda)
- As the number of policy proposals becomes large, the net benefits become overstated
- Many possible alternatives, but limited productive capacity of the economy

# Multiobjective Evolutionary Algorithms (MOEAs)

- Global search
- Effective on difficult problems, e.g.:
  - Many-Objective (>4 objectives)
  - Non-linear
  - Stochastic
- Can embed complex system representations



TRWD uses a specific model schematic within RiverWare for their planning.



*The figure shows a more detailed version of the TRWD schematic, including multiple demands and hydrologic inputs*



# Computational Setup

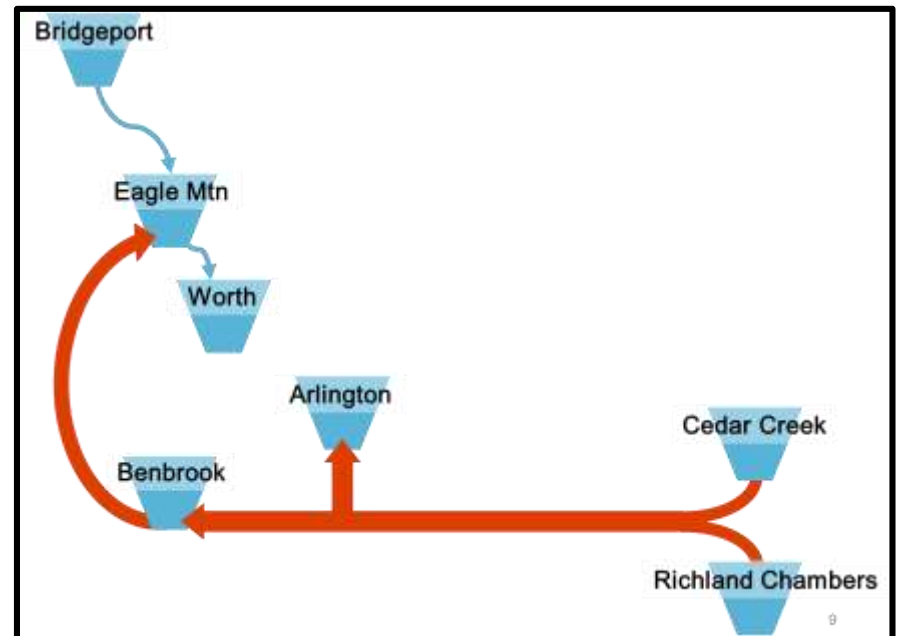
- Detailed representation of the system embedded directly into the Borg MOEA
  - Similar to TRWD's use, consider 1 year simulation at daily time step
- **Challenge:** Approx. 2 min per evaluation of stochastic ensemble
  - Limit the search duration, with visualizations of search progress
  - ~3000 function evaluations (4 days per run, on 12-core machine)
- Two hydrologic scenarios chosen based on baseline response:
  - Stressed
  - Surplus



[mwomercs.com](http://mwomercs.com)

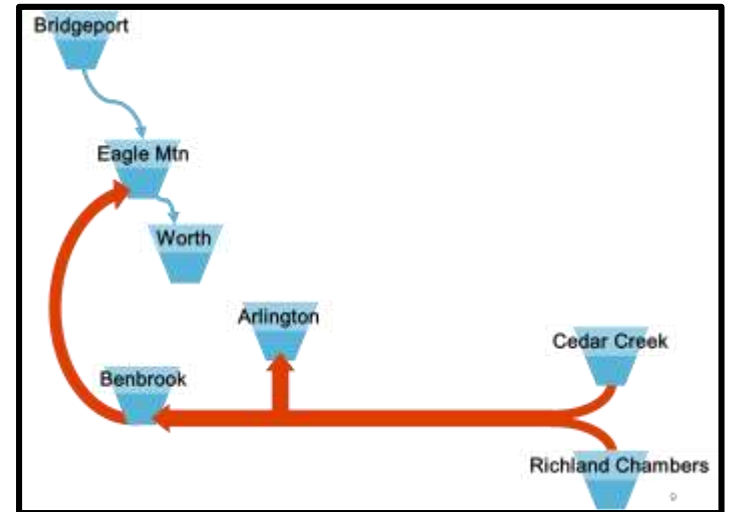
# Problem Formulation: Initial Objectives

- Our discussions indicated that TRWD looked at the system as a whole
  - Objectives are discovered as part of the planning process itself! [Hitch, 1960; Liebman, 1976]
- Started with four objectives
  - Minimize occurrence of large supplementation (*emc50*)
  - Minimize occurrence of high pumping rates (*pump211*)
  - Minimize variation in pumping rates (*pumpvar*)
  - Minimize wasted pumping (*spill*)



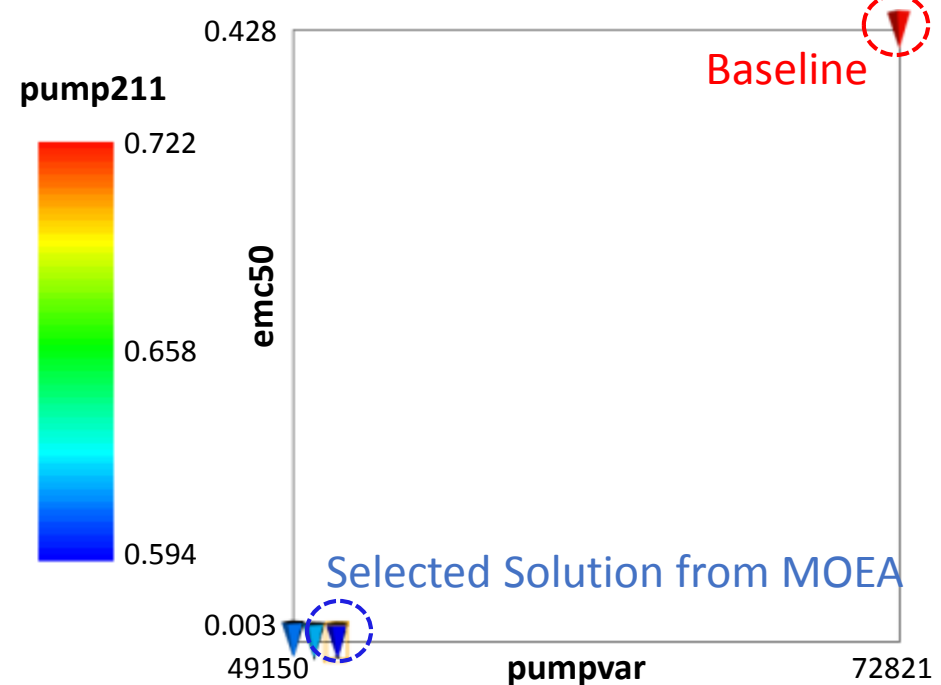
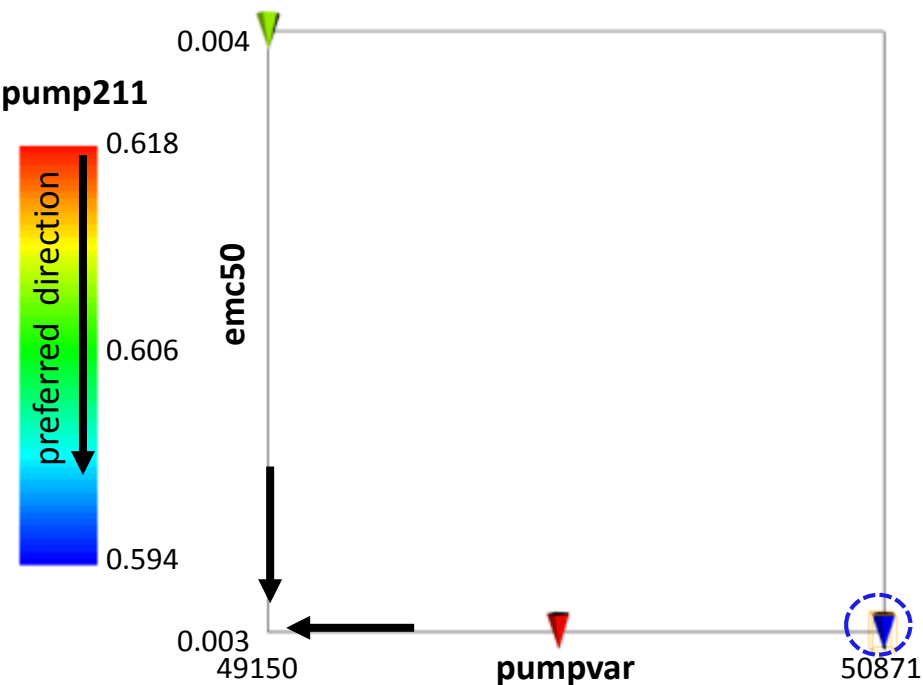
# Problem Formulation: Revised Objectives

- Systemwide objectives
  - Minimize occurrence of large supplementation (*emc50*)
  - Minimize occurrence of high pumping rates (*pump211*)
  - Minimize variation in pumping rates (*pumpvar*)
  - Minimize wasted pumping (*spill*)
- Reliability objectives help keep reservoirs balanced
  - **Bridgeport** (*bridgeport-rel*, 811.0 ft, for release purposes)
  - **Eagle Mountain** (*eaglemtn-rel*, 644.1 ft, for recreation)
  - **Worth** (*worth-rel*, 590.0 ft, for regulatory considerations)

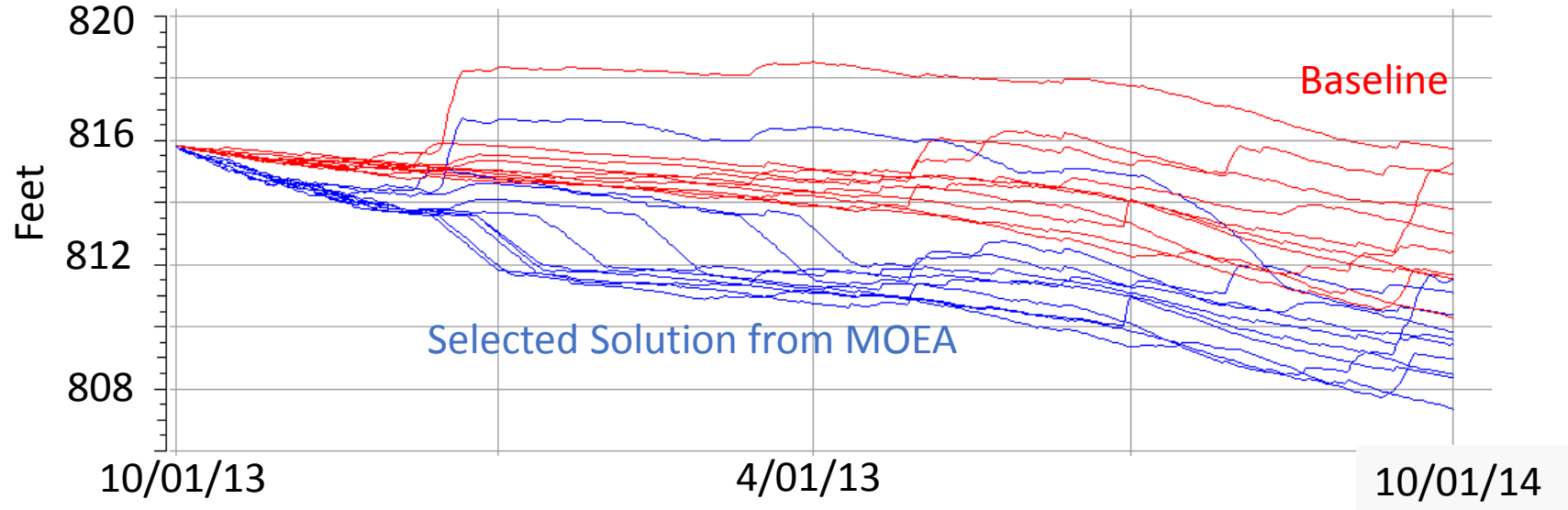


### Initial Stressed Results

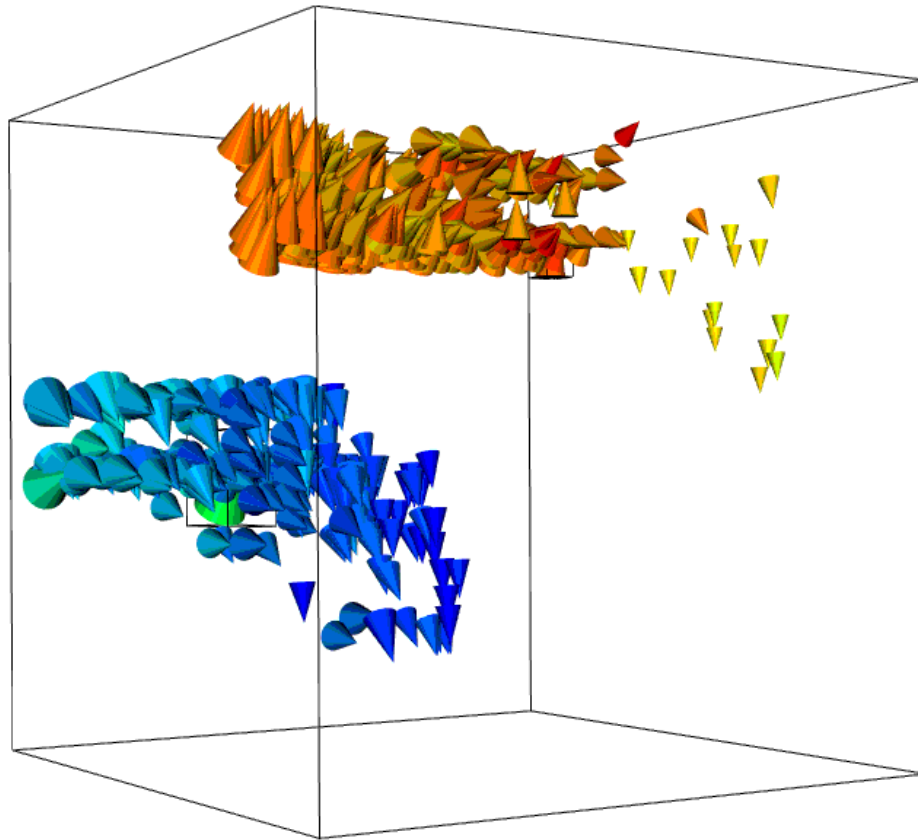
### Initial Stressed Results + Baseline



### Bridgeport Pool Elevation

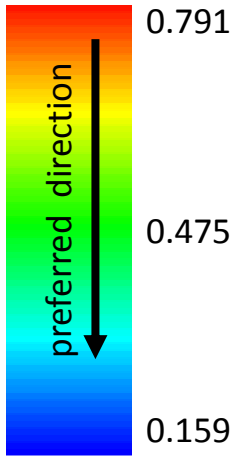




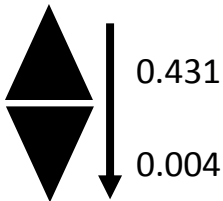


# Visualizing objectives

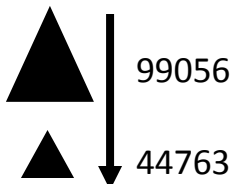
**pump211**



**emc50**



**pumpvar**



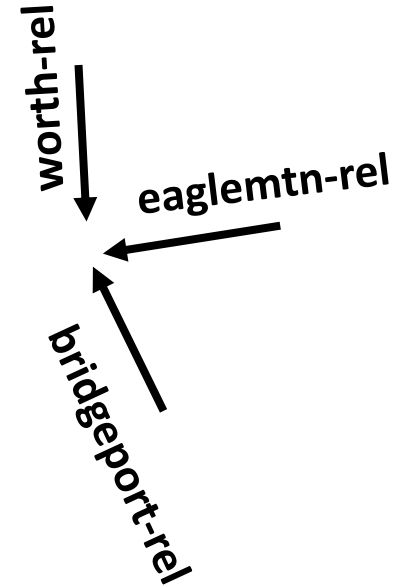
## *System-wide Metrics*

**Color** shows the amount of time there is high pumping (*pump211*)

**Orientation** shows *emc50*, the amount of time that there is more than 50 mgd of supplementation to Eagle Mountain

**Size** shows *pumpvar*, a measure of variance of pumping.

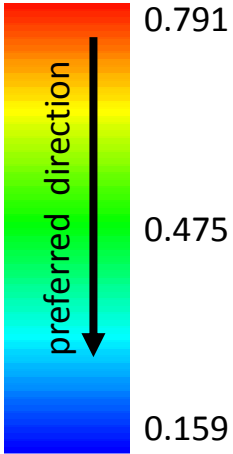
## *Individual Reservoirs*



**Spatial axes** show reservoir reliabilities, which calculate the amount of time storage is above desired targets.

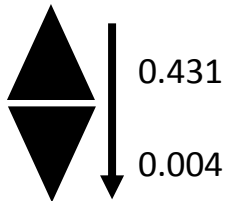
# Stressed & Surplus: Results

**pump211**

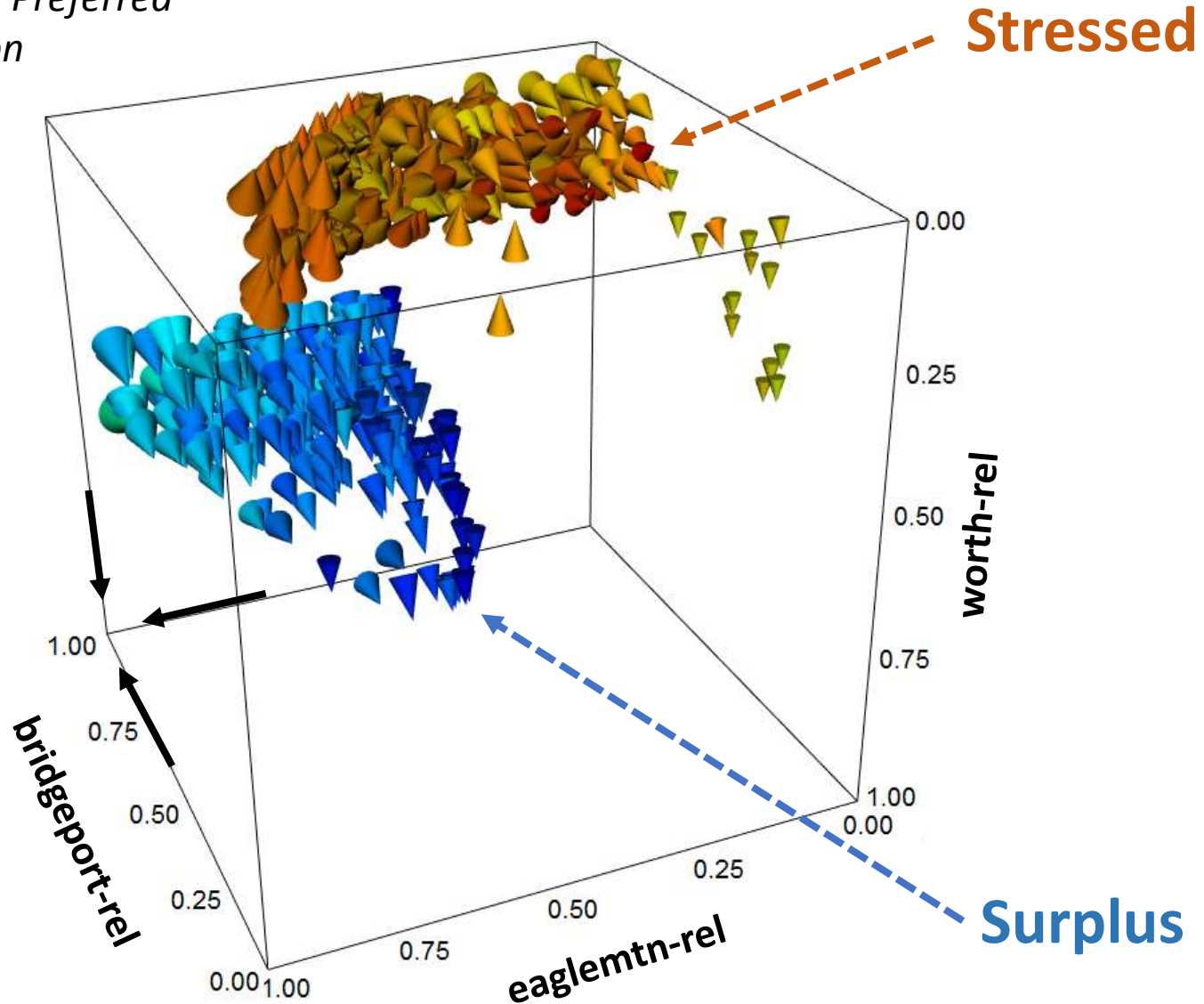
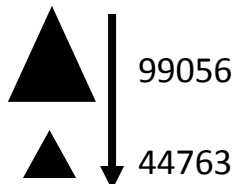


*Arrows: Preferred  
Direction*

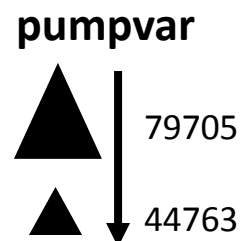
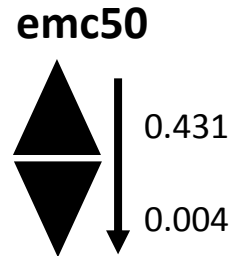
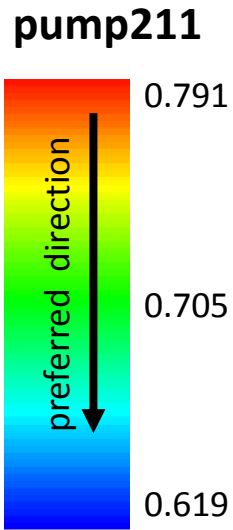
**emc50**



**pumpvar**

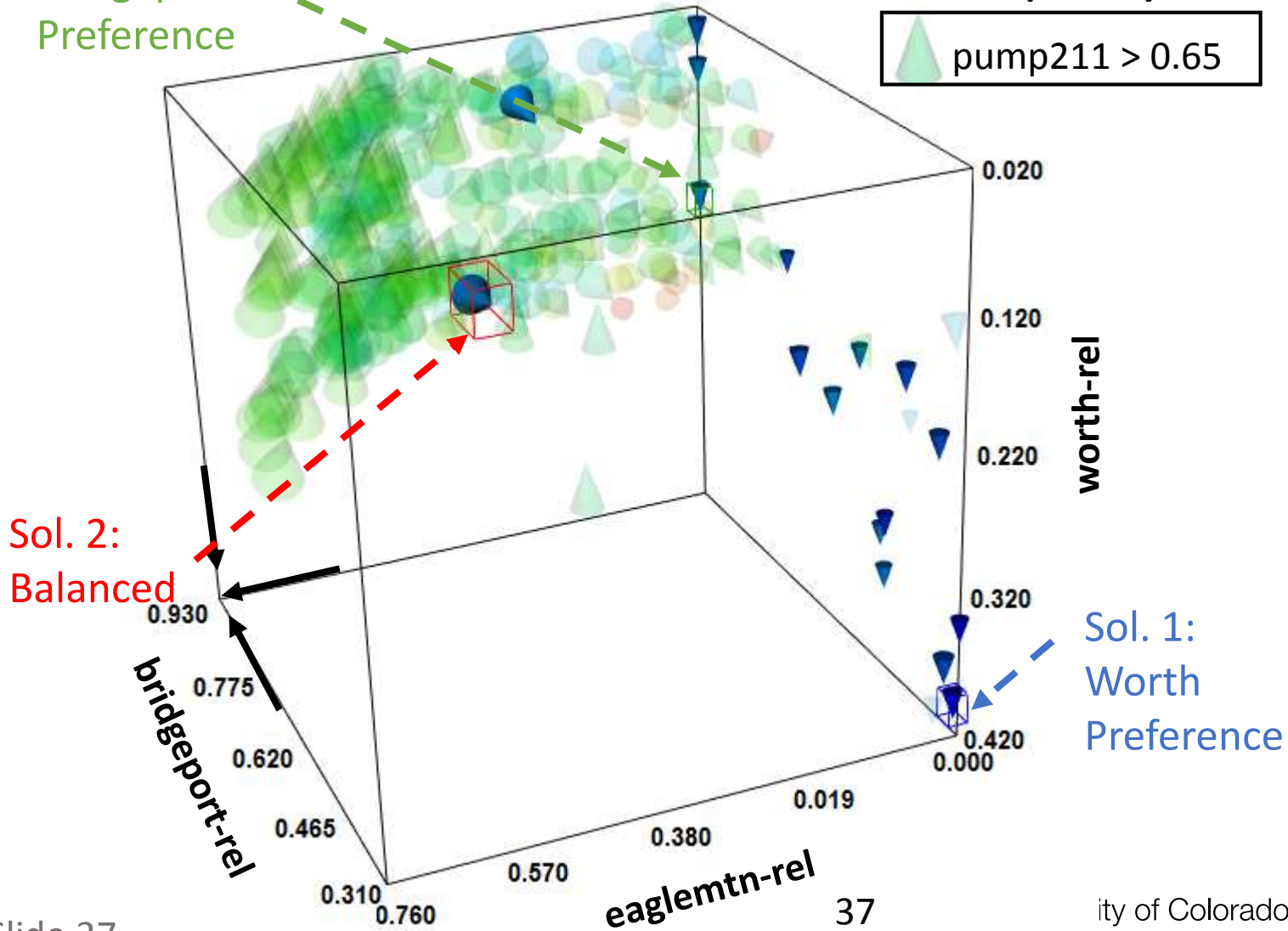
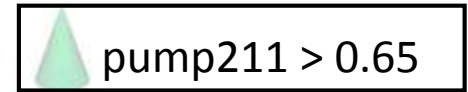


# Stressed: Set Exploration



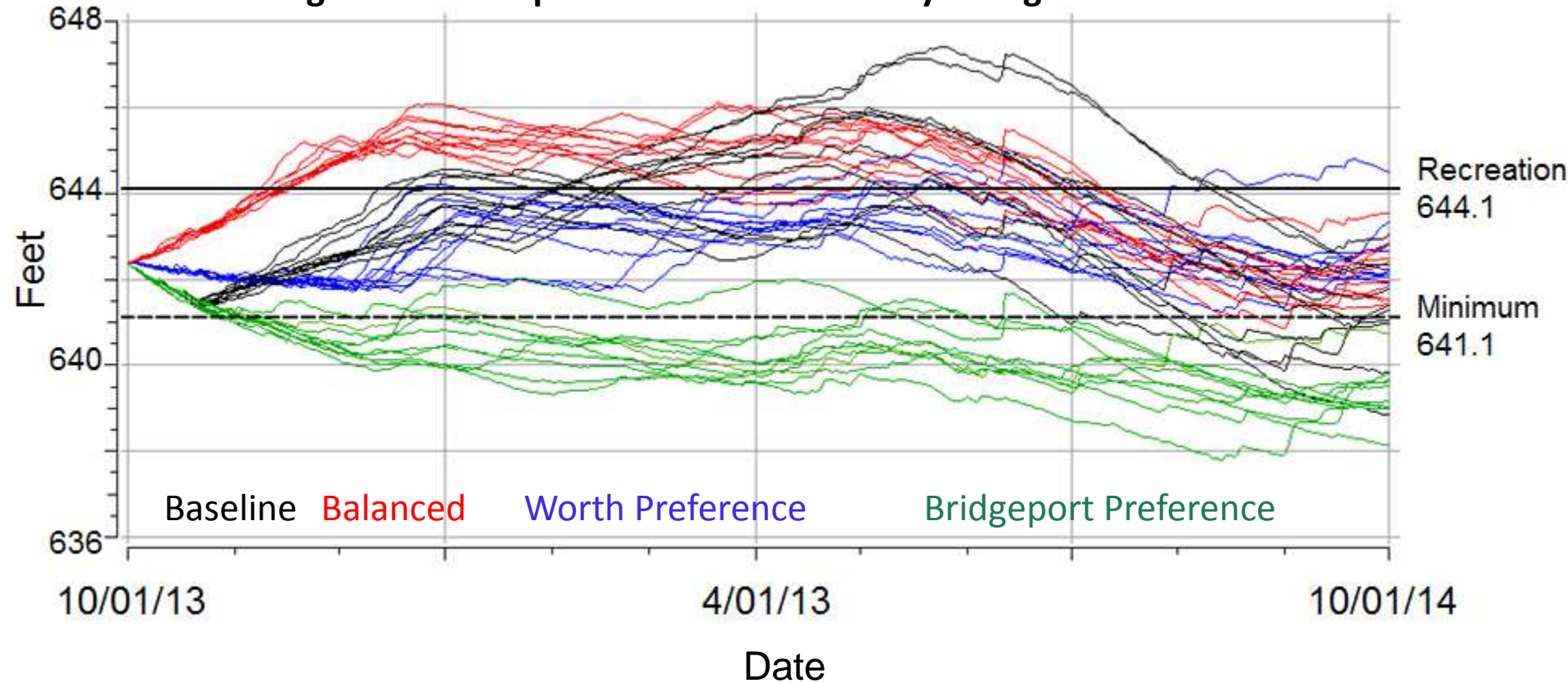
Sol. 3  
Bridgeport  
Preference

Transparency



Other plotting mechanisms in the simulation model help us explore the results.

Eagle Mountain pool elevation in each hydrologic trace





|                           | Sol.150   | Sol.87    | Sol.77    | Baseline  |
|---------------------------|-----------|-----------|-----------|-----------|
| <b>Objectives</b>         |           |           |           |           |
| emc50                     | 0.016     | 0.243     | 0.008     | 0.428     |
| pump211                   | 0.619     | 0.646     | 0.645     | 0.722     |
| spill                     | 0.000     | 0.000     | 0.000     | 0.000     |
| pumpvar                   | 51738.585 | 70175.718 | 52401.700 | 72821.251 |
| bridgeport-rel            | 0.320     | 0.720     | 0.930     | 0.930     |
| eaglemtn-rel              | 0.000     | 0.430     | 0.000     | 0.000     |
| worth-rel                 | 0.400     | 0.160     | 0.170     | 0.170     |
| <b>Decision Variables</b> |           |           |           |           |
| emzone1                   | 645.860   | 644.814   | 644.179   | 644.100   |
| emzone2                   | 647.164   | 645.362   | 644.425   | 644.100   |
| emzone3                   | 647.518   | 647.949   | 647.044   | 648.100   |
| bpzone1                   | 813.691   | 815.747   | 820.036   | 821.000   |
| bpzone2                   | 817.985   | 819.614   | 827.152   | 826.000   |
| bpzone3                   | 830.056   | 832.790   | 828.873   | 836.000   |
| emtrigdry1                | 641.425   | 641.390   | 641.155   | 643.100   |
| emtrigdry2                | 641.457   | 641.809   | 641.195   | 645.100   |
| emtrigdry3                | 645.994   | 644.666   | 642.058   | 647.100   |
| emtrigav1                 | 642.076   | 642.675   | 641.281   | 641.100   |
| emtrigav2                 | 642.780   | 642.965   | 641.839   | 643.100   |
| emtrigav3                 | 644.380   | 643.871   | 642.674   | 645.100   |
| emtrigwet1                | 641.100   | 641.115   | 641.201   | 641.100   |
| emtrigwet2                | 641.137   | 641.194   | 641.258   | 643.100   |
| emtrigwet3                | 641.821   | 642.669   | 647.266   | 645.100   |
| emcrate1                  | 48.076    | 200.000   | 28.399    | 150.000   |
| emcrate2                  | 46.898    | 197.008   | 2.836     | 100.000   |
| emcrate3                  | 18.813    | 194.292   | 1.202     | 75.000    |
| worthlev1                 | 590.410   | 590.236   | 590.366   | 590.000   |
| worthlev2                 | 590.783   | 590.411   | 590.492   | 591.000   |
| worthlev3                 | 590.985   | 590.442   | 590.761   | 591.500   |
| worthlev4                 | 591.108   | 590.516   | 591.345   | 592.000   |
| worthlev5                 | 591.256   | 590.677   | 592.836   | 593.000   |
| worthlev6                 | 591.475   | 590.744   | 592.836   | 593.000   |